

# $C^*$ -algebras of separated graphs

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Some motivation: the  $C^*$ -algebras  $U_{m,n}^{\text{nc}}$

Larry Brown (1981) studied the  $C^*$ -algebra  $U_n^{\text{nc}}$ . Concretely the algebra  $U_n^{\text{nc}}$  was defined as the universal algebra generated by elements  $u_{ij}$ ,  $1 \leq i, j \leq n$ , subject to the relations making  $(u_{ij})$  a unitary  $n \times n$  matrix.

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McClanahan raised the problem of computing the  $K$ -theory of  $U_{m,n}^{\text{nc}}$ , establishing a conjecture and proving it in several cases.

## Definition

A directed graph is given by  $E = (E^0, E^1, s, r)$ , where  $E^0$  and  $E^1$  denote the sets of vertices and edges of  $E$ , respectively, and  $s, r : E^1 \rightarrow E^0$  are the source and range maps.

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## Definition

A path in  $E$  is  $\alpha = e_1 e_2 \cdots e_n$  where  $e_i \in E^1$  and  $r(e_i) = s(e_{i+1})$  for  $i < n$ . The *length* of such a path is  $|\alpha| := n$ . Paths of length 0 are identified with the vertices of  $E$ .

## Separated graphs

### Definition

A *separated graph* is a pair  $(E, C)$  where  $E$  is a graph,  $C = \bigsqcup_{v \in E^0} C_v$ , and  $C_v$  is a partition of  $s^{-1}(v)$  (into pairwise disjoint nonempty subsets) for every vertex  $v$ :

$$s^{-1}(v) = \bigsqcup_{X \in C_v} X.$$

(In case  $v$  is a sink, we take  $C_v$  to be the empty family of subsets of  $s^{-1}(v)$ .)

The constructions we introduce revert to existing ones in case  $C_v = \{s^{-1}(v)\}$  for each  $v \in E^0$ . We refer to a *non-separated graph* in that situation.



## Definition

For any separated graph  $(E, C)$ , the full graph  $C^*$ -algebra of the separated graph  $(E, C)$  is the universal  $C^*$ -algebra with generators  $\{v, e \mid v \in E^0, e \in E^1\}$ , subject to the following relations:

$$(V) \quad vw = \delta_{v,w}v \quad \text{and} \quad v = v^* \quad \text{for all } v, w \in E^0,$$

$$(E) \quad s(e)e = er(e) = e \quad \text{for all } e \in E^1,$$

$$(SCK1) \quad e^*f = \delta_{e,f}r(e) \quad \text{for all } e, f \in X, X \in C, \text{ and}$$

$$(SCK2) \quad v = \sum_{e \in X} ee^* \quad \text{for every finite set } X \in C_v, v \in E^0.$$

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In case  $(E, C)$  is trivially separated,  $C^*(E, C)$  is just the classical graph  $C^*$ -algebra  $C^*(E)$ .

## Example

Assume that  $(E, C)$  is a separated graph and that  $|E^0| = 1$ . Then we have

$$C^*(E, C) \cong *_{X \in C} \mathcal{O}_{|X|},$$

that is,  $C^*(E, C)$  is a free product over  $\mathbb{C}$  of Cuntz algebras of type  $(1, |X|)$ , for  $X \in C$ .

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Note that if  $|X| = 1$  for all  $X \in C$  then

$$C^*(E, C) \cong C^*(\mathbb{F}_r),$$

a full group  $C^*$ -algebra of the free group  $\mathbb{F}_r$  on  $r = |E^1|$  generators.

## Example

Let  $1 \leq m \leq n$ . Let us consider the separated graph  $(E(m, n), C(m, n))$ , where  $E(m, n)$  is the graph consisting of two vertices  $v, w$  and with

$$E(m, n)^1 = \{\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_m\},$$

with  $s(\alpha_i) = s(\beta_j) = v$  and  $r(\alpha_i) = r(\beta_j) = w$  for all  $i, j$ , and  $C(m, n)$  consists of two elements  $X = \{\alpha_1, \dots, \alpha_n\}$  and  $Y = \{\beta_1, \dots, \beta_m\}$ .

## Example

Let  $1 \leq m \leq n$ . Let us consider the separated graph  $(E(m, n), C(m, n))$ , where  $E(m, n)$  is the graph consisting of two vertices  $v, w$  and with

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Write

$$A_{m,n} := C^*(E(m, n), C(m, n)).$$

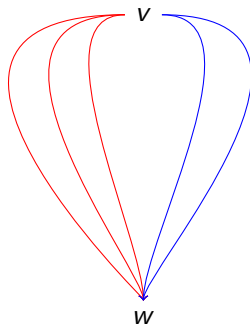


Figure: The separated graph  $(E(2, 3), C(2, 3))$

## Lemma

*There is a natural isomorphism*

$$\gamma: U_{m,n}^{\text{nc}} \rightarrow wA_{m,n}w$$

*given by*

$$\gamma(X_{ji}) = \beta_j^* \alpha_i, \quad \gamma(X_{ji}^*) = \alpha_i^* \beta_j$$

Note that

$$\gamma\left(\sum_{i=1}^n X_{ji} X_{ki}^*\right) = \sum_{i=1}^n \beta_j^* \alpha_i \alpha_i^* \beta_k = \beta_j^* \beta_k = \delta_{jk} w$$

and similarly  $\gamma\left(\sum_{j=1}^m X_{ji}^* X_{jk}\right) = \delta_{ik} w$  so  $\gamma$  is a well-defined homomorphism, which is shown to be an isomorphism.



Since  $v \sim n \cdot w \sim m \cdot w$ , we get from the above

$$A_{m,n} \cong M_{n+1}(wA_{m,n}w) \cong M_{n+1}(U_{m,n}^{\text{nc}}) \cong M_{m+1}(U_{m,n}^{\text{nc}}).$$

## Amalgamated free products

We will consider only *finitely separated graphs*, that is  $|X| < \infty$  for all  $X \in C$ .

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Given  $\iota: A_0 \rightarrow A_\iota$ ,  $\iota \in I$ , the **amalgamated free product**  $A = *_{A_0} A_\iota$  is a  $C^*$ -algebra  $A$ , together with  $\rho_\iota: A_\iota \rightarrow A$  such that

$$\rho_\iota \circ \iota = \rho_{\iota'} \circ \iota': A_0 \rightarrow A$$

for all  $\iota, \iota'$ , and such that given any other  $*$ -homomorphisms  $\delta_\iota: A_\iota \rightarrow B$  with  $\delta_\iota \circ \iota = \delta_{\iota'} \circ \iota'$  for all  $\iota, \iota'$  there is a unique  $\delta: A \rightarrow B$  such that  $\delta \circ \rho_\iota = \delta_\iota$ .

Let  $(E, C)$  be finitely separated graph. Consider  $A_0 = C_0(E^0)$ ,  
 $A_X = C^*(E_X)$ , where  $(E_X)^0 = E^0$ ,  $(E_X)^1 = X$ .

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We have  $\iota: A_0 \rightarrow A_X$  and also  $\rho_X: A_X \rightarrow C^*(E, C)$ , and of course  
 $\rho_\iota \circ \iota: A_0 \rightarrow C^*(E, C)$  is the natural map.

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We have:

$$C^*(E, C) \cong *_A A_X,$$

the amalgamated free product of  $A_X$  over  $A_0$ .

## Definition

Let  $B \subseteq A$  be an inclusion of  $C^*$ -algebras. A **conditional expectation** is a map  $\Phi: A \rightarrow B$  such that

- ①  $\Phi(b) = b$  for all  $b \in B$ .
- ②  $\Phi(ab) = \Phi(a)b$  and  $\Phi(ba) = b\Phi(a)$  for all  $a \in A$  and  $b \in B$ .
- ③  $\Phi$  is positive:  $\Phi(x) \geq 0$  if  $x \geq 0$ .
- ④  $\|\Phi(a)\| \leq \|a\|$  for all  $a \in A$ .

The conditional expectation  $\Phi: A \rightarrow B$  is *faithful* if, for  $x \geq 0$ ,  $\Phi(x) = 0$  implies  $x = 0$ .

A canonical conditional expectation  $\Phi: C^*(E) \rightarrow C_0(E^0)$  can be defined, satisfying:

$$\Phi(\gamma e f^* \nu^*) = 0, \quad e \neq f,$$

$$\Phi(\gamma e e^* \nu^*) = \frac{1}{|s^{-1}(s(e))|} \Phi(\gamma \nu^*).$$



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### Theorem

*Let  $E$  be a row-finite directed graph. Then the canonical conditional expectation  $\Phi: C^*(E) \rightarrow C_0(E^0)$  is faithful.*

## Voiculescu's reduced amalgamated free product:

Given  $\{(A_\iota, \Phi_\iota), \iota \in I\}$ , unital  $C^*$ -algebras,  $A_0 \subseteq A_\iota$ , with conditional expectations  $\Phi_\iota: A_\iota \rightarrow A_0$ , the *reduced amalgamated free product*  $(A, \Phi)$  is uniquely determined by:

- 1  $A$  is a unital  $C^*$ -algebra and  $\exists$  unital  $*$ -homomorphisms  $\sigma_\iota: A_\iota \rightarrow A$  s.t.  $(\sigma_\iota)|_{A_0} = (\sigma_{\iota'})|_{A_0}$  for all  $\iota, \iota' \in I$ .
- 2  $A$  is generated by  $\bigcup_{\iota \in I} \sigma_\iota(A_\iota)$ .
- 3  $\Phi: A \rightarrow A_0$  is a conditional expectation such that  $\Phi \circ \sigma_\iota = \Phi_\iota$  for all  $\iota \in I$ .
- 4 For  $(\iota_1, \dots, \iota_n) \in \Lambda(I)$  and  $a_j \in \ker \Phi_{\iota_j}$  we have  $\Phi(\sigma_{\iota_1}(a_1) \cdots \sigma_{\iota_n}(a_n)) = 0$ . Here,  $\Lambda(I)$  denotes the family of indices  $(\iota_1, \dots, \iota_n)$ ,  $n \geq 1$ , such that  $\iota_i \neq \iota_{i+1}$  for  $i = 1, \dots, n-1$ .
- 5 If  $c \in A$  is such that  $\Phi(a^* c^* c a) = 0$  for all  $a \in A$  then  $c = 0$ .

It is known that  $\Phi: A \rightarrow A_0$  is faithful in case all the conditional expectations  $\Phi_\iota: A_\iota \rightarrow A_0$  are faithful.

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### Definition

Let  $(E, C)$  be a finitely separated graph. Assume that  $|E^0|$  is finite. Then we can define the *reduced graph  $C^*$ -algebra*  $C_{\text{red}}^*(E, C)$  as the reduced amalgamated free product of the  $(A_X, \Phi_X)$ .

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Note that  $C_{\text{red}}^*(E, C)$  comes with a faithful conditional expectation  $\Phi: C_{\text{red}}^*(E, C) \rightarrow A_0 = C_0(E^0)$ .

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### Remark

There is also a definition of  $C_{\text{red}}^*(E, C)$  in case  $|E^0| = \infty$ .

## Theorem

*If  $E$  is a (non-separated) row-finite graph then the canonical map  $C^*(E) \rightarrow C_{\text{red}}^*(E)$  is an isomorphism.*

## The examples revisited

Observe first that in case  $|E^0| = 1$ , we get the free product result  $C^*(E, C) \cong *_{X \in C} \mathcal{O}_{|X|}$ .



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$$C^*(E(m, n), C(m, n)) \cong M_{n+1}(\mathbb{C}) *_{\mathbb{C}^2} M_{m+1}(\mathbb{C}),$$

where  $\mathbb{C}^2 \rightarrow M_{n+1}$  is given by sending  $(1, 0)$  to  $e_{11} + \cdots + e_{nn}$  and  $(0, 1)$  to  $e_{n+1, n+1}$ , and similarly for  $\mathbb{C}^2 \rightarrow M_{m+1}$ .

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Combining this with the isomorphism

$$M_{n+1}(U_{m,n}^{\text{nc}}) \cong C^*(E(m, n), C(m, n))$$

we get

$$M_{n+1}(U_{m,n}^{\text{nc}}) \cong M_{n+1}(\mathbb{C}) *_{\mathbb{C}^2} M_{m+1}(\mathbb{C}).$$

The canonical conditional expectations  $\Phi_X$  and  $\Phi_Y$  are easily seen to correspond to the maps  $\phi: M_{n+1}(\mathbb{C}) \rightarrow \mathbb{C}^2$  and  $\psi: M_{m+1}(\mathbb{C}) \rightarrow \mathbb{C}^2$  given by

$$\phi([a_{ij}]) = \left( \frac{1}{n} \sum_{i=1}^n a_{ii}, a_{n+1,n+1} \right),$$

$$\psi([b_{ij}]) = \left( \frac{1}{m} \sum_{j=1}^m b_{jj}, b_{m+1,m+1} \right).$$

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The  $C^*$ -algebra  $C_{\text{red}}^*(E(m, n), C(m, n))$  is *simple*, but the  $C^*$ -algebra  $C^*(E(m, n), C(m, n))$  is far from being simple.

## nuclearity/exactness

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- The graph  $C^*$ -algebra  $C^*(E)$  is nuclear for all row-finite graphs.
- For any separated graph  $(E, C)$ , the reduced  $C^*$ -algebra  $C_{\text{red}}^*(E, C)$  is exact.
- In general  $C_{\text{red}}^*(E, C)$  is not nuclear, e.g.

$$C_{\text{red}}^*(E, C) = C_{\text{red}}^*(\mathbb{F}_2),$$

where  $(E, C)$  is the separated graph with one vertex  $v$  and two edges  $e_1, e_2$ , and  $C = \{\{e_1\}, \{e_2\}\}$ .



# Open questions

## Open Problems

- 1 When is the reduced graph  $C^*$ -algebra of a finitely separated graph simple?
- 2 When is the full or reduced graph  $C^*$ -algebra of a finitely separated graph finite?
- 3 Assume that  $C_{\text{red}}^*(E, C)$  is infinite. Is it then purely infinite? Does it at least have real rank zero?

## Conjecture

Let  $(E, C)$  be a finitely separated graph. Let  $M(E, C)$  be the abelian monoid with generators  $\{a_v \mid v \in E^0\}$  and relations given by  $a_v = \sum_{e \in X} a_{r(e)}$  for all  $v \in E^0$  and all  $X \in C_v$ . Then the natural map

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- Let  $L(E, C)$  be the dense  $*$ -subalgebra of  $C^*(E, C)$  generated by the canonical generators of  $C^*(E, C)$ . Then (Goodearl, A)

$$M(E, C) \cong \mathcal{V}(L(E, C)).$$

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- The answer is positive if we look at *stable*  $K$ -theory:

$$\text{Grot}(M(E, C)) \cong K_0(C^*(E, C)).$$

Let

$$\langle a_1, \dots, a_n \mid \sum_{i=1}^n r_i a_i = \sum_{i=1}^n s_i a_i \rangle$$

be a presentation of the one-relator abelian monoid  $M$ , where  $a_1, \dots, a_n$  are free generators, and  $r_i + s_i > 0$  for all  $i$ . Let  $(E, C)$  be the finitely separated graph:

- 1  $E^0 := \{v, w_1, w_2, \dots, w_n\}$ .
- 2  $v$  is a source, and all the  $w_i$  are sinks.
- 3 For each  $i \in \{1, \dots, n\}$ , there are exactly  $r_i + s_i$  edges with source  $v$  and range  $w_i$ .
- 4  $C = C_v = \{X, Y\}$ , where  $X$  contains exactly  $s_i$  edges  $v \rightarrow w_i$  for each  $i$ , and  $Y$  contains exactly  $r_i$  edges  $v \rightarrow w_i$  for each  $i$ . Thus,  $E^1 = X \sqcup Y$ .

We call a separated graph constructed in this way a *one-relator separated graph*. As a particular example, we may consider the presentation  $\langle a \mid ma = na \rangle$ , with  $1 \leq m \leq n$ . This gives rise to the separated graph  $(E(m, n), C(m, n))$ .

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### Remark

Observe that

$$M(E, C) \cong \langle a_1, \dots, a_n \mid \sum_{i=1}^n r_i a_i = \sum_{i=1}^n s_i a_i \rangle.$$

Indeed, given any abelian conical monoid  $S$  we can construct a separated graph  $(E, C)$  such that  $S \cong M(E, C)$ .



## Theorem

Let  $(E, C)$  be the one-relator separated graph associated to the presentation

$$\langle a_1, \dots, a_n \mid \sum_{i=1}^n r_i a_i = \sum_{i=1}^n s_i a_i \rangle.$$

Set  $M = \sum_{i=1}^n r_i$  and  $N = \sum_{i=1}^n s_i$ , and assume that  $2 \leq M \leq N$ . Then  $C_{\text{red}}^*(E, C)$  either is purely infinite simple or has a faithful tracial state, and it is purely infinite simple if and only if  $M < N$  and there is  $i_0 \in \{1, \dots, n\}$  such that  $s_{i_0} > 0$  and  $r_{i_0} > 0$ . Moreover, if  $N + M \geq 5$  and  $C_{\text{red}}^*(E, C)$  is finite, then it is simple with a unique tracial state.

## Theorem

Let  $(E, C)$  be the one-relator separated graph associated to the presentation

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## Corollary

If  $1 < m < n$  then  $C_{\text{red}}^*(E(m, n), C(m, n))$  is purely infinite simple.

## Lemma

Let  $F$  be the free abelian monoid on free generators  $a_1, a_2, \dots, a_n$ .  
Let

$$x = \sum_{i=1}^n r_i a_i, \quad y = \sum_{i=1}^n s_i a_i$$

be nonzero elements in  $F$ . Let  $M$  be the conical abelian monoid  $F / \sim$  where  $\sim$  is the congruence on  $F$  generated by  $(x, y)$ . Then  $M$  contains infinite elements if and only if either  $x < y$  or  $y < x$  in the usual order of  $F$ .

Recall that a  $C^*$ -algebra  $A$  is termed *residually finite dimensional* if it admits a separating family of finite-dimensional  $*$ -representations.

Using

S. Armstrong, K. Dykema, R. Exel, H. Li, *On embeddings of full amalgamated free product  $C^*$ -algebras*, Proc. Amer. Math. Soc. **132** (2004), 2019–2030,

we get

## Proposition

Let  $(E, C)$  be the separated graph associated to the presentation  $\langle a_1, \dots, a_n \mid \sum_{i=1}^n r_i a_i = \sum_{i=1}^n s_i a_i \rangle$ . Consider the nonzero vectors  $\mathbf{r} = (r_1, \dots, r_n)$  and  $\mathbf{s} = (s_1, \dots, s_n)$  in  $\mathbb{Z}^n$ . Then the following conditions are equivalent:

- (i)  $C^*(E, C)$  is residually finite dimensional.
- (ii)  $C^*(E, C)$  admits a faithful tracial state.
- (iii)  $C^*(E, C)$  is stably finite.
- (iv)  $C^*(E, C)$  is finite.
- (v)  $\mathbf{r} \not\leq \mathbf{s}$  and  $\mathbf{s} \not\leq \mathbf{r}$  in the usual order of  $\mathbb{Z}^n$ .

## Example

There exists separated graphs  $(E, C)$  such that  $M(E, C)$  is a stably finite monoid and  $C^*(E, C)$  is a stably finite  $C^*$ -algebra, but  $C_{\text{red}}^*(E, C)$  is purely infinite simple, and moreover the natural map  $M(E, C) \rightarrow \mathcal{V}(C_{\text{red}}^*(E, C))$  is not injective.

## Proof.

Take for instance the separated graph associated to the one-relator monoid  $\langle a, b \mid 3a + 2b = 2a + 4b \rangle$ . By the Lemma,  $M(E, C)$  is a stably finite monoid and, by the Proposition,  $C^*(E, C)$  is a stably finite  $C^*$ -algebra. However, by the Theorem, we have that  $C_{\text{red}}^*(E, C)$  is purely infinite simple. In particular we obtain that  $\mathcal{V}(C_{\text{red}}^*(E, C)) \setminus \{0\} = K_0(C_{\text{red}}^*(E, C))$  is cancellative. Thus  $a \neq 2b$  in  $M(E, C)$  but  $a = 2b$  in  $\mathcal{V}(C_{\text{red}}^*(E, C))$ . This shows the result. □

Thank you very much for your attention!!!